



TO: Chair and Members of the Governance Committee

FROM: Emily Williams, Chief Executive Officer
Dr. Alexander Summers, Medical Officer of Health

DATE: 2022 November 10

MLHU Q3 2022 RISK REGISTER

Recommendation

It is recommended that the Governance Committee recommend to the Board of Health to:

- 1) *Receive Report No. 14-22GC, re: “MLHU Q3 2022 Risk Register” for information; and*
- 2) *Approve the Q3 2022 Risk Register ([Appendix A](#)).*

Key Points

- MLHU has shifted to quarterly reporting using the new Risk Register approved by the Board of Health on February 17, 2022.
- There are 23 risks identified on the Q3 Risk Register: 16 high risks, five (5) medium risks and two (2) low risks.
- Of the 16 high risks there are two (2) that remain at significant residual risk.
- Two (2) high risks transitioned from minor residual risk in Q2 to moderate residual risk in Q3.
- A total of five (5) new risks were added to the Risk Register in Q3.

Background

At its meeting on February 17, 2022, the Board of Health approved the new MLHU Risk Management Plan and Risk Register ([Report No. 04-22GC](#)) to address the gaps in the risk reporting process. The Risk Register ([Appendix A](#)) is a repository for all risks identified across the organization and includes additional information about each risk (priority rating, mitigation strategies, and residual risk). It captures MLHU’s response and actions taken to address risks, which are monitored on a quarterly basis and reported to the Board.

Q3 2022 Risk Register

There are 23 risks identified on the Q3 Risk Register. A total of 16 high risks, five (5) medium risks and two (2) low risks have been included since Q4 2021.

Of the 16 high risks listed on the Risk Register:

- Nine (9) are ranked as minor residual risk through the implementation of effective mitigation strategies.
- Four (4) are high risks that were ranked as moderate residual risk through the implementation of partly effective mitigation strategies.
- Two (2) high risks remain at high residual risk because the effectiveness of the mitigation strategies to address these risks cannot be assessed at this time.
- One (1) high risk was eliminated due to successful mitigation during Q2.

There are two (2) high risks that transitioned from minor residual risk in Q2 to moderate residual risk in Q3 related to:

- The Medical Officer of Health continuing to operate with limited back-up coverage due to the recent departure of the Acting Associate Medical Officer of Health. The recruitment process for a permanent Associated Medical Officer of Health has been reinitiated.
- The risk for turnover of municipal councilors on the Board of Health remains.

Of the five (5) medium risks listed on the Risk Register:

- Four (4) are ranked as minor residual risk through the implementation of effective mitigation strategies.
- One (1) is ranked as moderate residual risk through the implementation of partly effective mitigation strategies.

Of the two (2) low risks listed on the Risk Register, both remain at minor residual risk through effective mitigation strategies.

The five (5) new risks that were identified in Q3 relate to Privacy, Legal/Compliance and Financial risk categories.

The Q3 Risk Register is included in the Standard Activity Report that is submitted annually to the Ministry.

Next Steps

It is recommended that the Board of Health review and approve the Q3 2022 Risk Register ([Appendix A](#)) included with this report.

This report was prepared by the Manager, Privacy, Risk and Project Management.



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